

## Counterpart Definitions in Reports on trading volumes

### COUNTERPART TYPES OF THE REPORTING PRIMARY DEALERS

CODE	CAPTION	DESCRIPTION
<b>DEALER</b>		
1	<b>Inter-Dealer Broker</b>	Trades between the Reporting PD and a non-bank regulated financial intermediary, including central counterpart, electronic and voice brokerage, on a non-name give up basis (i.e. the Inter Dealer Broker does not disclose the name of the counterpart).
3	<b>Bank-Primary Dealer</b>	(i) Trades between the Reporting PD and another PD appointed by the same DMO as the Reporting PD. (ii) trades between the Reporting PD and Recognized Dealers or Single Market Specialists (the latter only for the type of security(ies) that they have been appointed to trade) appointed by the same DMO as the Reporting PD.
4	<b>Bank-Inter Dealer</b>	Trades between the Reporting PD and a bank that has a PD-ship in at least 3 countries of the euro area (inclusive Denmark) and that is not reportable under item 3.
<b>CUSTOMER</b>		
5	<b>Bank-Connected Entity</b>	(i) Trades between the Reporting PD and a Company belonging to the same group of Companies as the Reporting PD, unless the trade is reportable under items 9-14. (ii) Trades between the Reporting PD and the ALM department of the bank of which the Reporting PD is a part of, or between the Reporting PD and a segregated proprietary trading unit of the bank of which the Reporting PD is a part of.
6	<b>Bank — Customer</b>	(i) Trades between the Reporting PD and a bank that has a PDship in less than 3 countries of the euro area (inclusive Denmark), unless the trade is reportable under item 13. (ii) Trades between the Reporting PD and the ALM department of another PD.
7	<b>Debt Management Office</b>	Trades between the Reporting PD and the DMO that has appointed it.
8	<b>Public Entity</b>	Trades between the Reporting PD and all public entities acting as fund managers. Includes trades with central banks, sovereigns wealth funds and supranational institutions unless such trades can be reported under items 9, 10 or 14.

Transactions with central banks:

- only transactions executed within the framework of their **investment portfolio should be reported**
- transactions executed within the framework of their **monetary policy should NOT be reported**

<b>9</b>	<b>Pension Fund</b>	Trades between the Reporting PD and private or government pension funds.
<b>10</b>	<b>Insurance Company</b>	Trades between the Reporting PD and (re-)insurance companies. This includes their integrated insurance/pension fund managers unless clearly identifiable as "pension fund" under item 9.
<b>11</b>	<b>Fund Manager</b>	Trades between the Reporting PD and fund managers including investments funds e.g., asset management companies, mutual funds, real estate investment companies, and foundations.
<b>12</b>	<b>Hedge Fund</b>	Trades between the Reporting PD and hedge funds where identifiable, otherwise reported under item 11.
<b>13</b>	<b>Retail</b>	Trades between the Reporting PD and private client banks and private client division of the reporting PD and agency brokers or individuals if applicable.
<b>14</b>	<b>Corporate</b>	Trades between the Reporting PD and commercial, industrial & holding companies and their financial subsidiaries as part of their investment activities, unless reported under item 9, 10, 11 or 12.